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15	UNITED STATES DISTRICT COURT			
16	NORTHERN DISTRICT OF CALIFORNIA			
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18	CHIP PIER, an individual d/b/a FMP ASSOCIATES,	CASE No. 3:18-cv	-01485-EMC	
19	Plaintiff,	STIPULATED PI ORDER RE CON	FIDENTIALITY	
20	v.	OF DOCUMENT INFORMATION LITIGATION	FOR STANDARD	
21	ARCHITECTURAL COMPONENTS		urnosos:	
22 23	GROUP, INC., a Missouri corporation; and DOES 1-10, inclusive,	Assigned for All Purposes: Judge Edward M. Chen Courtroom 5		
24 24	Defendant.	Action Filed:	February 6 2018	
24 25		Removal Date: Trial Date:	February 6, 2018 March 8, 2018 None	
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Plaintiff Chip Pier d/b/a FMP Associates ("Plaintiff") and Defendant
Architectural Components Group, Inc. ("Defendant"), by and through their respective
counsel of record, hereby stipulate and agree as follows, and in accordance with the
Federal Rules of Civil Procedure, and all applicable Local Rules of the United States
District Court for the Northern District of California, the Court enters this Stipulated
Protective Order.

1. PURPOSES AND LIMITATIONS

Disclosure and discovery activity in this action are likely to involve production of confidential, proprietary, or private information for which special protection from public disclosure and from use for any purpose other than prosecuting this litigation may be warranted. Accordingly, the parties hereby stipulate to and petition the court to enter the following Stipulated Protective Order. The parties acknowledge that this Stipulated Protective Order does not confer blanket protections on all disclosures or responses to discovery and that the protection it affords from public disclosure and use extends only to the limited information or items that are entitled to confidential treatment under applicable legal principles. The parties further acknowledge, as set forth in Section 12.3, below, that this Stipulated Protective Order does not entitle them to file confidential information under seal; Civil Local Rule 79-5 sets forth the procedures that must be followed and the standards that will be applied when a party seeks permission from the court to file material under seal.

2. <u>DEFINITIONS</u>

- 2.1 <u>Challenging Party</u>: a Party or Non-Party that challenges the designation of information or items under this Order.
- 2.2 <u>"CONFIDENTIAL" Information or Items</u>: information (regardless of how it is generated, stored or maintained) or tangible things that qualify for protection under

6	"CONFIDENTIAL."		
7	2.5 <u>Disclosure or Discovery Material</u> : all items or information, regardless of		
8	the medium or manner in which it is generated, stored, or maintained (including, among		
9	other things, testimony, transcripts, and tangible things), that are produced or generated		
10	in disclosures or responses to discovery in this matter.		
11	2.6 <u>Expert</u> : a person with specialized knowledge or experience in a matter		
12	pertinent to the litigation who has been retained by a Party or its counsel to serve as an		
13	expert witness or as a consultant in this action.		
14	2.7 <u>House Counsel</u> : attorneys who are employees of a party to this action.		
15	House Counsel does not include Outside Counsel of Record or any other outside		
16	counsel.		
17	2.8 Non-Party: any natural person, partnership, corporation, association, or		
18	other legal entity not named as a Party to this action.		
19	2.9 <u>Outside Counsel of Record</u> : attorneys who are not employees of a party to		
20	this action but are retained to represent or advise a party to this action and have		
21	appeared in this action on behalf of that party.		
22	2.10 Party: any party to this action, including all of its officers, directors,		
23	employees, consultants, retained experts, and Outside Counsel of Record (and their		
24	support staffs).		
25	2.11 Producing Party: a Party or Non-Party that produces Disclosure or		
26	Discovery Material in this action.		
27	2.12 <u>Professional Vendors</u> : persons or entities that provide litigation support		
28	services (e.g., photocopying, videotaping, translating, preparing exhibits o		
	3065.002\9976 -3_ 3:18-cv-01485-EMG		
	STIPULATED PROTECTIVE ORDER RE CONFIDENTIALITY OF DOCUMENTS AND INFORMATION FOR STANDARD LITIGATION		

Counsel (without qualifier): Outside Counsel of Record and House

Designating Party: a Party or Non-Party that designates information or

items that it produces in disclosures or in responses to discovery

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Federal Rule of Civil Procedure 26(c).

Counsel (as well as their support staff).

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3. SCOPE

from a Producing Party.

their employees and subcontractors.

designated as "CONFIDENTIAL."

Protected Material:

The protections conferred by this Stipulation and Order cover not only Protected Material (as defined above), but also (1) any information copied or extracted from Protected Material; (2) all copies, excerpts, summaries, or compilations of Protected Material; and (3) any testimony, conversations, or presentations by Parties or their Counsel that might reveal Protected Material. However, the protections conferred by this Stipulation and Order do not cover the following information: (a) any information that is in the public domain at the time of disclosure to a Receiving Party or becomes part of the public domain after its disclosure to a Receiving Party as a result of publication not involving a violation of this Order, including becoming part of the public record through trial or otherwise; and (b) any information known to the Receiving Party prior to the disclosure or obtained by the Receiving Party after the disclosure from a source who obtained the information lawfully and under no obligation of confidentiality to the Designating Party. Any use of Protected Material at trial shall be governed by a separate agreement or order.

demonstrations, and organizing, storing, or retrieving data in any form or medium) and

2.14 Receiving Party: a Party that receives Disclosure or Discovery Material

any Disclosure or Discovery Material that is

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4. **DURATION**

Even after final disposition of this litigation, the confidentiality obligations imposed by this Order shall remain in effect until a Designating Party agrees otherwise in writing or a court order otherwise directs. Final disposition shall be

deemed to be the later of (1) dismissal of all claims and defenses in this action, with 1 2 3 4 5

or without prejudice; and (2) final judgment herein after the completion and exhaustion of all appeals, rehearings, remands, trials, or reviews of this action, including the time limits for filing any motions or applications for extension of time pursuant to applicable law.

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5. DESIGNATING PROTECTED MATERIAL

5.1 Exercise of Restraint and Care in Designating Material for Protection. Each Party or Non-Party that designates information or items for protection under this Order must take care to limit any such designation to specific material that qualifies under the appropriate standards. The Designating Party must, where reasonable and practicable, designate for protection only those parts of material, documents, items, or oral or written communications that qualify – so that other portions of the material, documents, items, or communications for which protection is not warranted are not swept unjustifiably within the ambit of this Order.

Mass, indiscriminate, or routinized designations are prohibited. Designations that are shown to be clearly unjustified or that have been made for an improper purpose (e.g., to unnecessarily encumber or retard the case development process or to impose unnecessary expenses and burdens on other parties) expose the Designating Party to sanctions. If it comes to a Designating Party's attention that information or items that it designated for protection do not qualify for protection, that Designating Party must promptly notify all other Parties that it is withdrawing the mistaken designation.

5.2 Manner and Timing of Designations. Except as otherwise provided in this Order (see, e.g., second paragraph of section 5.2(a) below), or as otherwise stipulated or ordered, Disclosure or Discovery Material that qualifies for protection under this Order must be clearly so designated before the material is disclosed or produced.

Designation in conformity with this Order requires:

(a) for information in documentary form (e.g., paper or electronic documents, but excluding transcripts of depositions or other pretrial or trial proceedings), that the Producing Party affix the legend "CONFIDENTIAL" to each page that contains protected material. If only a portion or portions of the material on a page qualifies for protection, the Producing Party also must clearly identify the protected portion(s) (e.g., by making appropriate markings in the margins).

A Party or Non-Party that makes original documents or materials available for inspection must designate them (or relevant portions thereof) for protection before the inspecting Party has indicated which material it would like copied and produced.

- (b) for testimony given in deposition or in other pretrial or trial proceedings, that the Designating Party identify on the record, before the close of the deposition, hearing, or other proceeding, all protected testimony. Alternatively, confidentiality designations for depositions may be made by written notice to the other party within 15 days following the deposition. Unless otherwise agreed, depositions shall be treated as "Confidential" during the 15-day period following the deposition.
- (c) for information produced in some form other than documentary and for any other tangible items, that the Producing Party affix in a prominent place on the exterior of the container or containers in which the information or item is stored the legend "CONFIDENTIAL." If only a portion or portions of the information or item warrant protection, the Producing Party, to the extent practicable, shall identify the protected portion(s).
- 5.3 <u>Inadvertent Failures to Designate</u>. An inadvertent failure to designate qualified information or items does not, standing alone, waive the Designating Party's right to secure protection under this Order for such material. Upon reasonably timely notice of an inadvertent failure to designate, the Receiving Party must assure that the material is treated in accordance with the provisions of this Order. The Designating Party may provide the Receiving Party with replacement material with the appropriate "CONFIDENTIAL" designation per Section 5.2, which shall be used in lieu of the non3065.002/9976

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CHALLENGING CONFIDENTIALITY DESIGNATIONS

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6.1 Timing of Challenges. Any Party or Non-Party may challenge a designation of confidentiality at any time. Unless a prompt challenge to a Designating Party's confidentiality designation is necessary to avoid foreseeable, substantial unfairness, unnecessary economic burdens, or a significant disruption or delay of the litigation, a Party does not waive its right to challenge a confidentiality designation by electing not to mount a challenge promptly after the original designation is disclosed.

- 6.2 Meet and Confer. The Challenging Party shall initiate the dispute resolution process by providing written notice of each designation it is challenging and describing the basis for each challenge. To avoid ambiguity as to whether a challenge has been made, the written notice must recite that the challenge to confidentiality is being made in accordance with this specific paragraph of the Protective Order. The parties shall attempt to resolve each challenge in good faith and must begin the process by conferring directly (in voice to voice dialogue; other forms of communication are not sufficient) within 14 days of the date of service of notice. In conferring, the Challenging Party must explain the basis for its belief that the confidentiality designation was not proper and must give the Designating Party an opportunity to review the designated material, to reconsider the circumstances, and, if no change in designation is offered, to explain the basis for the chosen designation. A Challenging Party may proceed to the next stage of the challenge process only if it has engaged in this meet and confer process first or establishes that the Designating Party is unwilling to participate in the meet and confer process in a timely manner.
- 6.3 Judicial Intervention. If the Parties cannot resolve a challenge without court intervention, the Designating Party shall file and serve a motion to retain confidentiality under Civil Local Rule 7 (and in compliance with Civil Local Rule 79-5, if applicable) within 21 days of the initial notice of challenge or within 14 days of the 3065.002\9976

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rules on the challenge.

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The burden of persuasion in any such challenge proceeding shall be on the Designating Party. Frivolous challenges, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the Challenging Party to sanctions. Unless the Designating Party has waived the confidentiality designation by failing to file a motion to retain confidentiality as described above, all parties shall continue to afford the material in question the level of protection to which it is entitled under the Producing Party's designation until the court

requirements imposed by the preceding paragraph.

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7. ACCESS TO AND USE OF PROTECTED MATERIAL

7.1 <u>Basic Principles</u>. A Receiving Party may use Protected Material that is disclosed or produced by another Party or by a Non-Party in connection with this case only for prosecuting, defending, or attempting to settle this litigation. Such Protected Material may be disclosed only to the categories of persons and under the conditions described in this Order. When the litigation has been terminated, a Receiving Party must comply with the provisions of section 13 below (FINAL DISPOSITION).

parties agreeing that the meet and confer process will not resolve their dispute,

whichever is earlier. Each such motion must be accompanied by a competent

declaration affirming that the movant has complied with the meet and confer

requirements imposed in the preceding paragraph. Failure by the Designating Party to

make such a motion including the required declaration within 21 days (or 14 days, if

applicable) shall automatically waive the confidentiality designation for each

challenged designation. In addition, the Challenging Party may file a motion

challenging a confidentiality designation at any time if there is good cause for doing so,

including a challenge to the designation of a deposition transcript or any portions

thereof. Any motion brought pursuant to this provision must be accompanied by a

competent declaration affirming that the movant has complied with the meet and confer

Protected Material must be stored and maintained by a Receiving Party at a location and in a secure manner that ensures that access is limited to the persons authorized under this Order.

- 7.2 <u>Disclosure of "CONFIDENTIAL" Information or Items</u>. Unless otherwise ordered by the court or permitted in writing by the Designating Party, a Receiving Party may disclose any information or item designated "CONFIDENTIAL" only to:
- (a) the Receiving Party's Outside Counsel of Record in this action, as well as employees of said Outside Counsel of Record to whom it is reasonably necessary to disclose the information for this litigation. The Receiving Party's lead Outside Counsel's signature on this Stipulated Order shall be deemed sufficient for all employees of such Outside Counsel of Record;
- (b) the officers, directors, and employees (including House Counsel) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A)¹;
- (c) Experts (as defined in this Order) of the Receiving Party to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);
 - (d) the court and its personnel;
- (e) court reporters and their staff, professional jury or trial consultants, mock jurors, and Professional Vendors to whom disclosure is reasonably necessary for this litigation and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A);

¹ Each party shall retain all executed copies of Exhibit A, which shall be produced to the opposing party only by a showing of good cause by the requesting party, first through an attempt to meet and confer and then by motion to the court. The burden of persuasion in any such proceeding to produce a copy of Exhibit A shall be on the party requesting production. Frivolous requests, and those made for an improper purpose (e.g., to harass or impose unnecessary expenses and burdens on other parties) may expose the requesting party to sanctions.

- (f) during their depositions, witnesses in the action to whom disclosure is reasonably necessary (and their counsel if they are independently represented) and who have signed the "Acknowledgment and Agreement to Be Bound" (Exhibit A), unless otherwise agreed by the Designating Party or ordered by the court. Any witness (and their applicable counsel) who declines to execute Exhibit A may be ordered by the court to be subject to some or all of the terms of this Stipulated Protective Order upon appropriate application to the court by any party with notice to that witness. Pages of transcribed deposition testimony or exhibits to depositions that reveal Protected Material must be separately bound by the court reporter and may not be disclosed to anyone except as permitted under this Stipulated Protective Order.
- (g) the author or recipient of a document containing the information or a custodian or other person who otherwise possessed or knew the information.
- (h) any mediator or other person jointly enlisted by the parties to conduct and negotiate settlement discussion.

8. PROTECTED MATERIAL SUBPOENAED OR ORDERED PRODUCED IN OTHER LITIGATION

If a Party is served with a subpoena or a court order issued in other litigation that compels disclosure of any information or items designated in this action as "CONFIDENTIAL," that Party must:

- (a) promptly notify in writing the Designating Party. Such notification shall include a copy of the subpoena or court order;
- (b) promptly notify in writing the party who caused the subpoena or order to issue in the other litigation that some or all of the material covered by the subpoena or order is subject to this Protective Order. Such notification shall include a copy of this Stipulated Protective Order; and
- (c) cooperate with respect to all reasonable procedures sought to be pursued by the Designating Party whose Protected Material may be affected.

If the Designating Party timely seeks a protective order, the Party served with the subpoena or court order shall not produce any information designated in this action as "CONFIDENTIAL" before a determination by the court from which the subpoena or order issued, unless the Party has obtained the Designating Party's permission. The Designating Party shall bear the burden and expense of seeking protection in that court of its confidential material – and nothing in these provisions should be construed as authorizing or encouraging a Receiving Party in this action to disobey a lawful directive from another court.

9. <u>A NON-PARTY'S PROTECTED MATERIAL SOUGHT TO BE</u> PRODUCED IN THIS LITIGATION

- (a) From the time the Order is entered, a copy of the Order shall be served along with any subpoena served in connection with this action. The terms of this Order are applicable to information produced by a Non-Party in this action and designated as "CONFIDENTIAL." Such information produced by Non-Parties in connection with this litigation is protected by the remedies and relief provided by this Order. Nothing in these provisions should be construed as prohibiting a Non-Party from seeking additional protections.
- (b) All non-designated documents produced by Non-Parties shall be treated as "Confidential" for a period of 10 days from the date of their production, and during that period any Party may designate such documents as "Confidential" pursuant to the terms of the Order. Any document not designated "Confidential" by the Non-Party or by a Party after such 10 day period will not be deemed Confidential.
- (c) In the event that a Party is required, by a valid discovery request, to produce a Non-Party's confidential information in its possession, and the Party is subject to an agreement with the Non-Party not to produce the Non-Party's confidential information, then the Party shall:

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specific description of the information requested; and

seeking protection in this court of its Protected Material.

agreement with a Non-Party;

(d)

promptly notify in writing the Requesting Party and the Non-

promptly provide the Non-Party with a copy of the Stipulated

make the information requested available for inspection by the

If the Non-Party fails to object or seek a protective order from this

Party that some or all of the information requested is subject to a confidentiality

Protective Order in this litigation, the relevant discovery request(s), and a reasonably

court within 14 days of receiving the notice and accompanying information, the

Receiving Party may produce the Non-Party's confidential information responsive to

the discovery request. If the Non-Party timely seeks a protective order, the Receiving

Party shall not produce any information in its possession or control that is subject to the

confidentiality agreement with the Non-Party before a determination by the court.

Absent a court order to the contrary, the Non-Party shall bear the burden and expense of

UNAUTHORIZED DISCLOSURE OF PROTECTED MATERIAL

Protected Material to any person or in any circumstance not authorized under this

Stipulated Protective Order, the Receiving Party must immediately (a) notify in writing

the Designating Party of the unauthorized disclosures, (b) use its best efforts to retrieve

all unauthorized copies of the Protected Material, (c) inform the person or persons to

whom unauthorized disclosures were made of all the terms of this Order, and (d)

request such person or persons to execute the "Acknowledgment and Agreement to Be

Bound" that is attached hereto as Exhibit A or otherwise destroy all such Protected

If a Receiving Party learns that, by inadvertence or otherwise, it has disclosed

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Non-Party.

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Material.

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11. INADVERTENT PRODUCTION OF PRIVILEGED OR OTHERWISE PROTECTED MATERIAL

When a Producing Party gives notice to Receiving Parties that certain inadvertently produced material is subject to a claim of privilege or other protection, the obligations of the Producing and Receiving Parties are those set forth in Federal Rule of Civil Procedure 26(b)(5)(B) and subject to Federal Rule of Evidence 502.

12. **MISCELLANEOUS**

- Right to Further Relief. Nothing in this Order abridges the right of any person to seek its modification by the court in the future.
- Right to Assert Other Objections. By stipulating to the entry of this Protective Order no Party waives any right it otherwise would have to object to disclosing or producing any information or item on any ground not addressed in this Stipulated Protective Order. Similarly, no Party waives any right to object on any ground to use in evidence of any of the material covered by this Protective Order.
- Filing Protected Material. Without written permission from the Designating Party or a court order secured after appropriate notice to all interested persons, a Party may not file in the public record in this action any Protected Material. A Party that seeks to file under seal any Protected Material must comply with Civil Local Rule 79-5. Protected Material may only be filed under seal pursuant to a court order authorizing the sealing of the specific Protected Material at issue. Pursuant to Civil Local Rule 79-5, a sealing order will issue only upon a request establishing that the Protected Material at issue is privileged, protectable as a trade secret, or otherwise entitled to protection under the law. If a Receiving Party's request to file Protected Material under seal pursuant to Civil Local Rule 79-5(d) is denied by the court, then the Receiving Party may file the information in the public record pursuant to Civil Local Rule 79-5(e) unless otherwise instructed by the court.

13. FINAL DISPOSITION

Within 60 days after the final disposition of this action, as defined in paragraph 4, each Receiving Party must return all Protected Material to the Producing Party or destroy such material. As used in this subdivision, "all Protected Material" includes all copies, abstracts, compilations, summaries, and any other format reproducing or capturing any of the Protected Material. Whether the Protected Material is returned or destroyed, the Receiving Party must submit a written certification to the Producing Party (and, if not the same person or entity, to the Designating Party) by the 60 day deadline that (1) identifies (by category, where appropriate) all the Protected Material that was returned or destroyed and (2) affirms that the Receiving Party has not retained any copies, abstracts, compilations, summaries or any other format reproducing or capturing any of the Protected Material. Notwithstanding this provision, Counsel are entitled to retain an archival copy of all pleadings, motion papers, trial, deposition, and hearing transcripts, legal memoranda, correspondence, deposition and trial exhibits, expert reports, attorney work product, and consultant and expert work product, even if such materials contain Protected Material. Any such archival copies that contain or constitute Protected Material remain subject to this Protective Order as set forth in Section 4 (DURATION).

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IT IS SO STIPULATED, THROUGH COUNSEL OF RECORD.

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Dated: June 7, 2018 Evan Pitchford

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Eric S. Engel, members of CONKLE, KREMER & ENGEL

Professional Law Corporation

Professional Law Corporation

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26 By: /s/ Evan Pitchford

Evan Pitchford

Attorneys for Plaintiff Chip Pier

d/b/a FMP Associates

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EXHIBIT A

- 1					
2	ACKNOWLEDGMENT AND AGREEMENT TO BE BOUND				
3	I, [print or type full name], of				
4	[print or type full address], declare under penalty of perjury that l				
5	have read in its entirety and understand the Stipulated Protective Order that was issued				
6	by the United States District Court for the Northern District of California in the case of				
7	Chip Pier v. Architectural Components Group, Inc., et al., Case No. 3:18-cv-01485-				
8	EMC. I agree to comply with and to be bound by all the terms of this Stipulated				
9	Protective Order and I understand and acknowledge that failure to so comply could				
10	expose me to sanctions and punishment in the nature of contempt. I solemnly promise				
11	that I will not disclose in any manner any information or item that is subject to this				
12	Stipulated Protective Order to any person or entity except in strict compliance with the				
13	provisions of this Order.				
14	I further agree to submit to the jurisdiction of the United States District Court for				
15	the Northern District of California for the purpose of enforcing the terms of this				
16	Stipulated Protective Order, even if such enforcement proceedings occur after				
17	termination of this action.				
18					
19	Date:				
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21	City and State where sworn and signed:				
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23	Printed name:				
24					
25	Signature:				
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